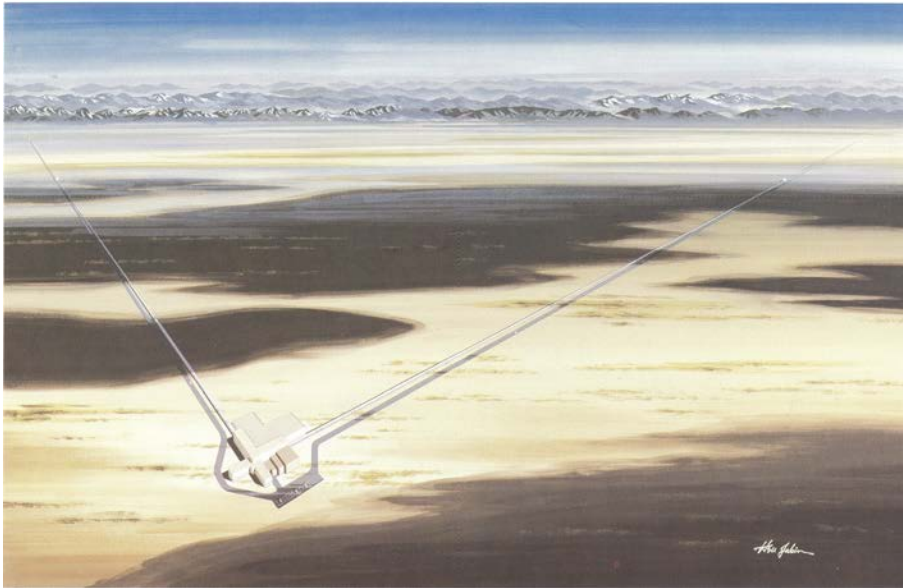


Gravitational Waves and LIGO: A Technical History



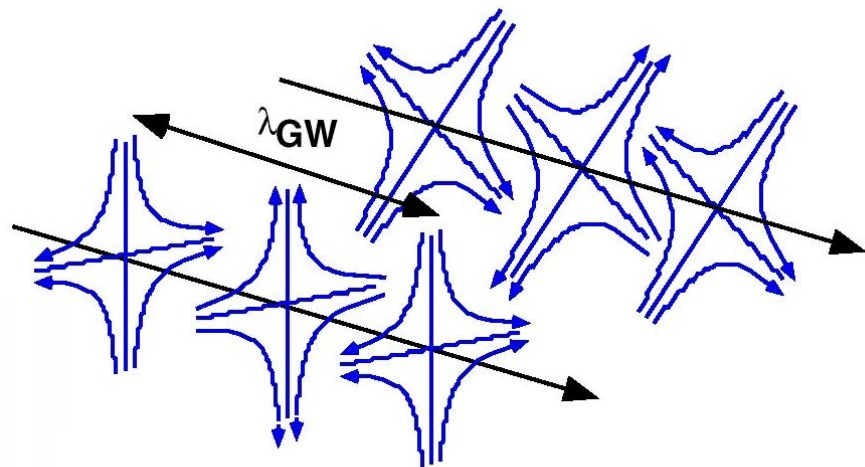
Stan Whitcomb
IEEE SV Tech History
Committee Event
11 October 2018

- Review a few of the technical developments that enabled LIGO, with emphasis on pre-1995 era
 - » Residual Gas Noise (Vacuum requirement)
 - » Thermal noise
 - » Mirror figure requirements
- Disclaimer: LIGO has an equally “rich” political history—NOT covered in this talk
 - » See e.g., Janna Levin, *Black Hole Blues*
 - » Caltech Archives--search for “LIGO Oral Histories” at <http://archives.caltech.edu/search/index.html>

- Einstein (in 1916) recognized gravitational waves in his theory of General Relativity
 - » Necessary consequence of Special Relativity with its finite speed for information transfer
 - » Most distinctive departure from Newtonian theory
- Time-dependent distortions of space-time created by the acceleration of masses
 - » Propagate away from the sources at the speed of light
 - » Pure transverse waves
 - » Two orthogonal polarizations

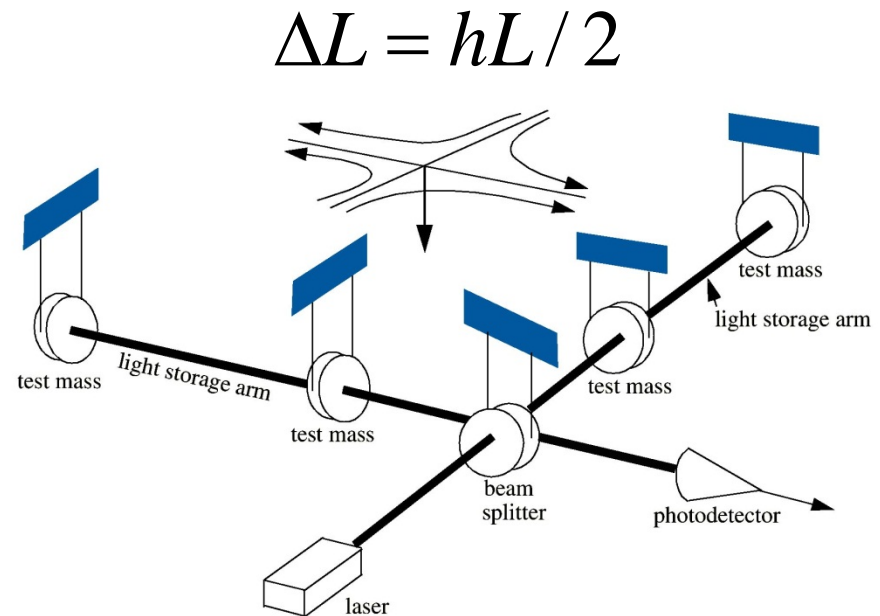
$$h = 2\Delta L / L$$

$\sim 10^{-21}$ for plausible astrophysical sources



Suspended mirrors act as “freely-falling” test masses in horizontal plane for frequencies $f \gg f_{\text{pend}}$

For a LIGO detector,
 $L \sim 4 \text{ km}$, $h \sim 10^{-21}$
 $\Delta L \sim 10^{-18} \text{ m}$

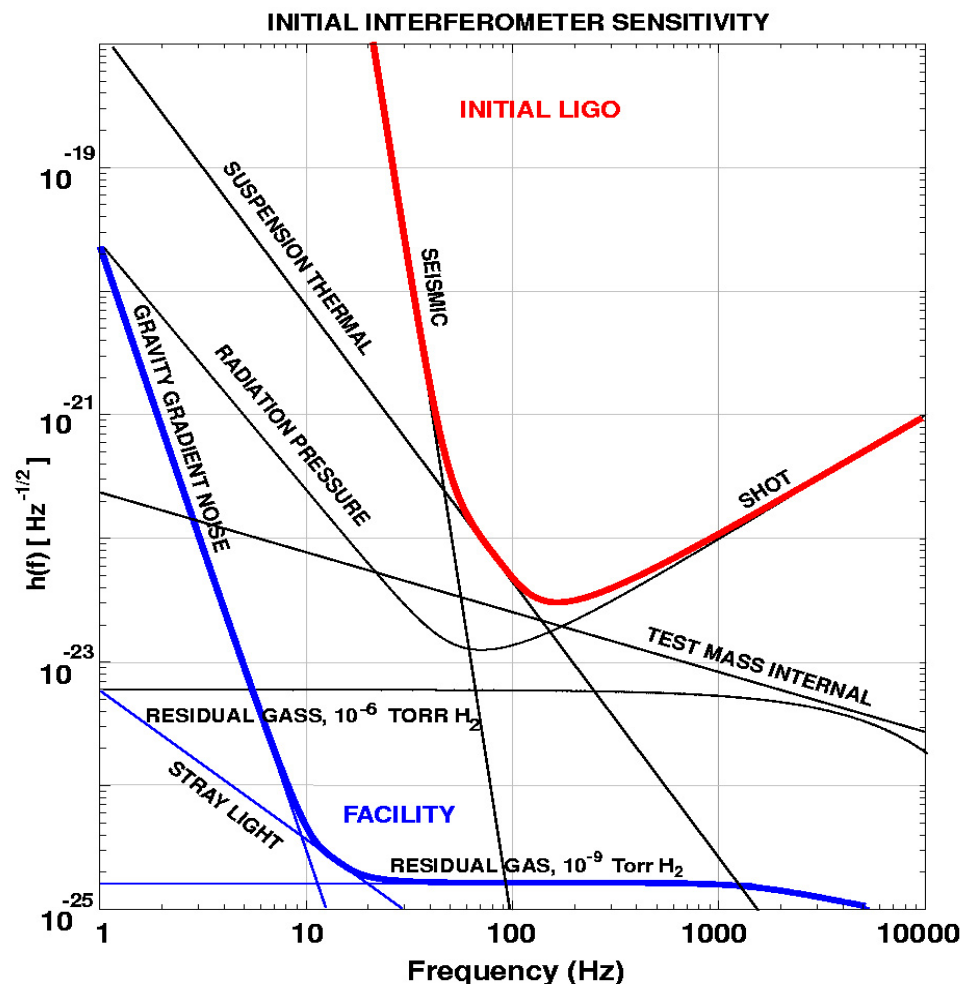




Laser Interferometer Gravitational-wave Observatory (LIGO)



The Core Principle Driving LIGO: Noise



☐ Sensing Noise

- » Photon Shot Noise
- » Residual Gas

☐ Displacement Noise

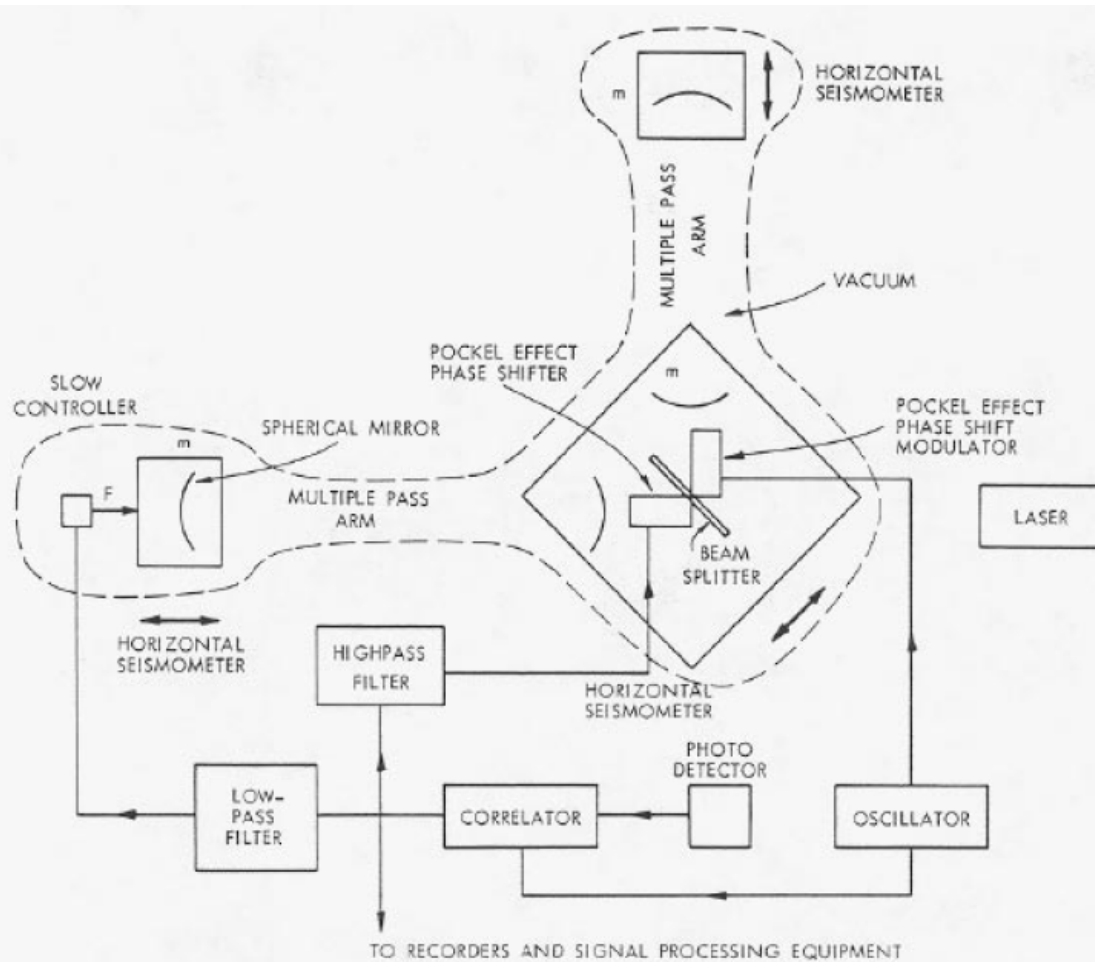
- » Seismic motion
- » Thermal Noise
- » Radiation Pressure

☐ Noise sources add

- » All noise sources have to be identified, understood and controlled

Three documents so central to the technical history of LIGO that they must be introduced immediately

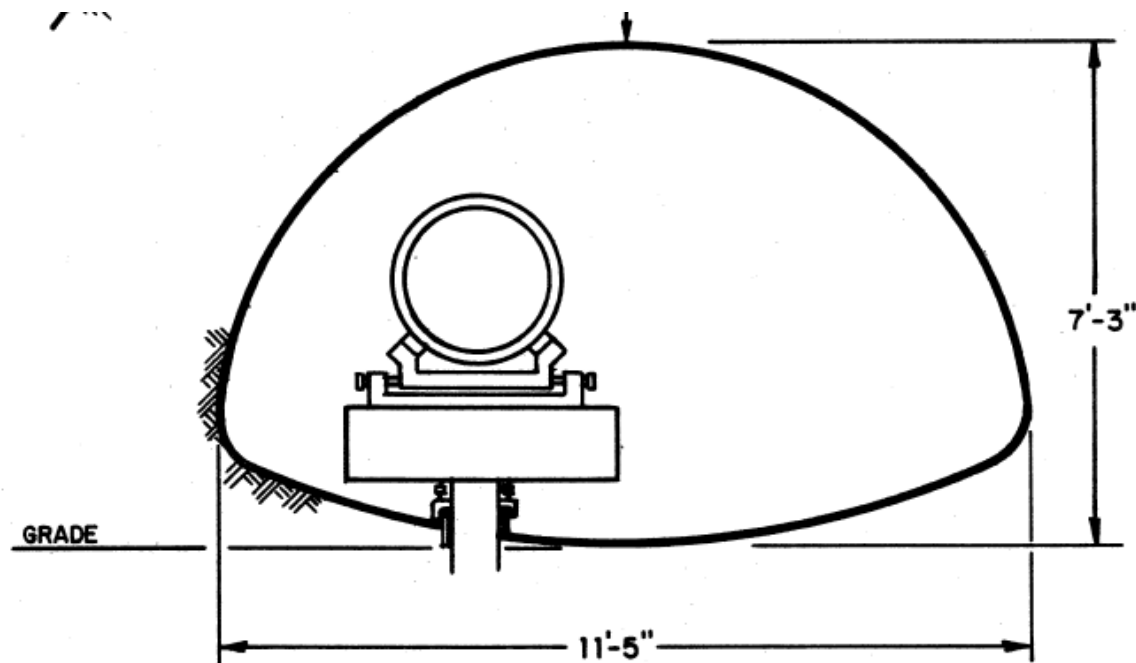
- “Rai’s RLE paper”
 - » “Electromagnetically Coupled Broadband Gravitational Antenna”
R. Weiss, Quarterly Reports of the Research Laboratory of Electronics MIT **105**, p. 54 (1973).
 - » Paper “... grew out of an undergraduate seminar that I ran at M.I.T. several years ago...”
- The “Blue Book”
 - » “A Study of a Long Baseline Gravitational Wave Antenna System”
 - » Authors: Paul Linsay, Peter Saulson, Rai Weiss
 - » Dated October 1983, but not really published
- NSF Proposal for LIGO Construction ('89 proposal)
 - » Proposal team: Robbie Vogt, Ron Drever, Rai Weiss, Kip Thorne, Fred Raab, but with contributions from many others



Not first suggestion of a laser interferometer to measure GWs, but first detailed noise/sensitivity analysis

- » Shot noise/
radiation pressure
- » Thermal noise
- » Seismic noise
- » Gravity gradient
- » ...

- Science and Engineering study of feasibility
- Comprehensive scope—Chapter titles
 - » Sources of Gravitational Radiation
 - » Physics and Detection
 - » Prototypes and Optical Concepts
 - » Noise sources
 - » Vacuum System
 - » Site survey
 - » Construction
 - » Proposed Design
- Important because of first engagement of engineering firms

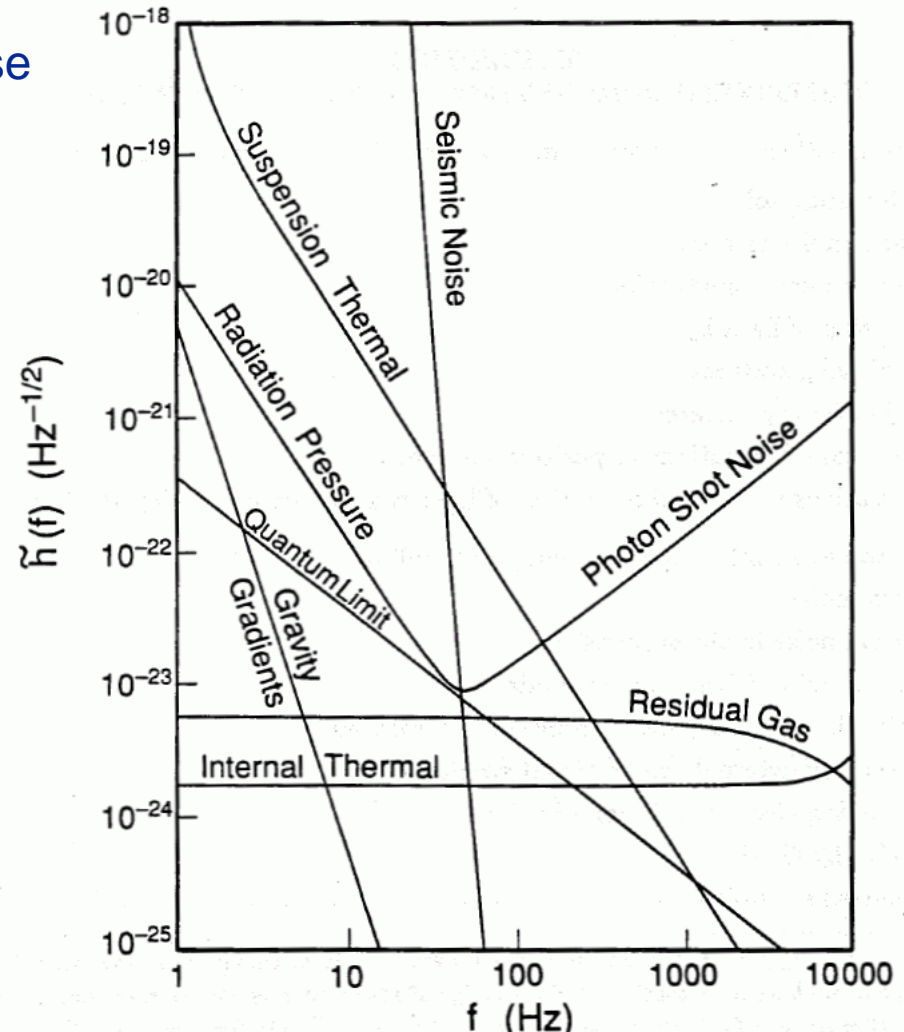


The '89 Proposal

- Two Volumes
 - » Science case, detector physics, noise analysis, prototype experience
 - » Engineering design and cost basis
- Defined sensitivity goals, phased approach, scope



LIGO-G180195-v3



Residual Gas Noise

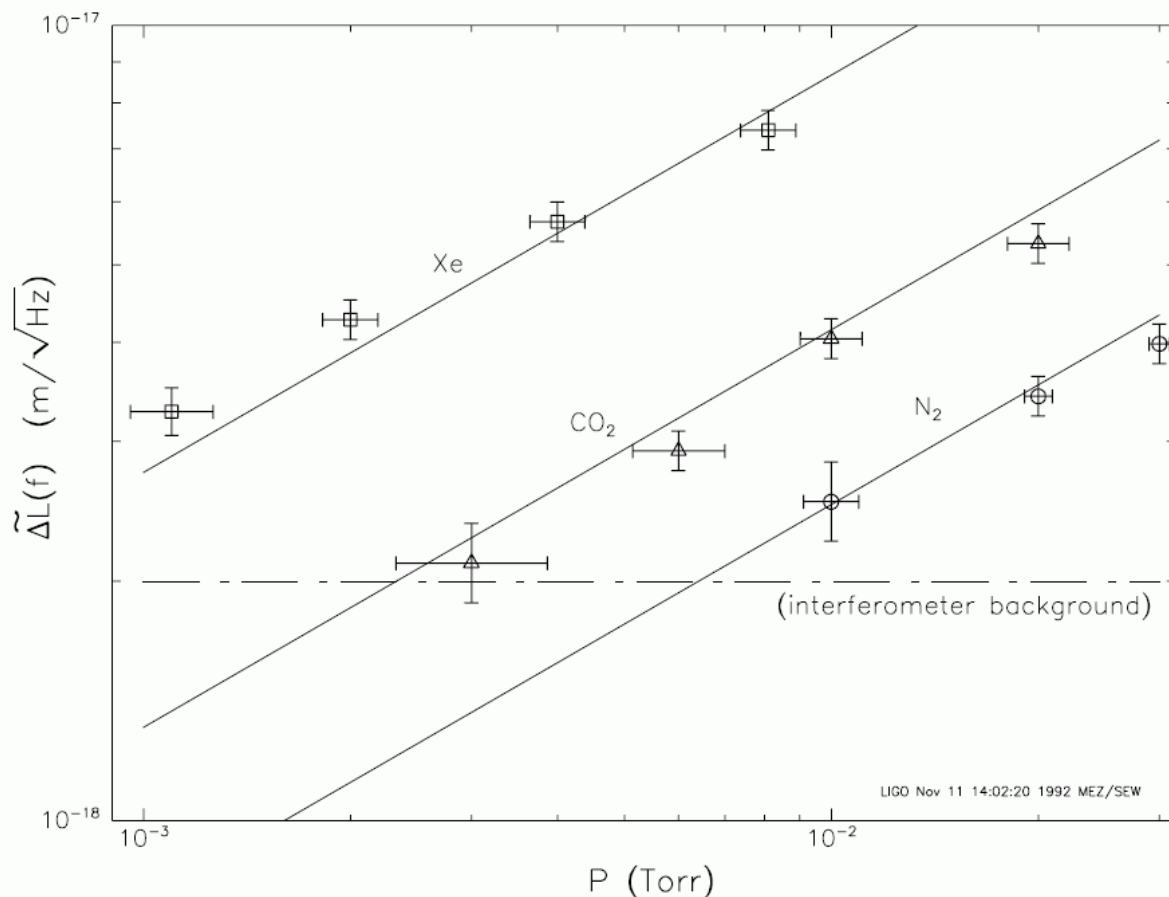
- Not the most interesting noise source to typical physicist, but **important because the vacuum system is one of the largest cost items in LIGO**



- Even though very small, the residual gas in the vacuum system contributes to index of refraction
- Not mentioned in Rai's RLE paper
- The Blue Book (1983)
 - » Has an essentially correct treatment of the noise due to residual gas—statistical fluctuations in the number of gas molecules in the beam causing fluctuations in refractive index
 - » Correct requirement for initial LIGO ($\sim 10^{-6}$ torr)
- Correct formulation independently published by the Munich/Garching group
 - » Referenced to Albrecht Rüdiger as unpublished derivation in paper on Munich 30 m prototype ("Noise behavior of the Garching 30-meter prototype gravitational wave detector," Shoemaker et al., *Phys Rev D* **38**, 423 (1988))

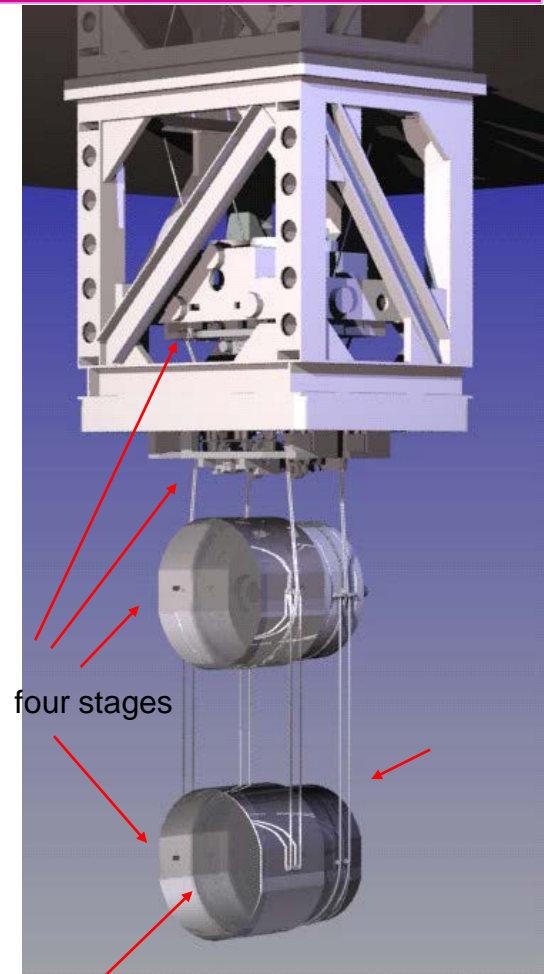
- Not entirely a straight line progression
- 1987 LIGO R&D proposal
 - » Initial LIGO requirement quoted (at least one place) as 10^{-3} torr, three orders of magnitude too high
- LIGO '89 proposal
 - » A new formulation of the problem, in terms of the forward scattering matrix for individual gas molecules
 - » Simple mathematical error ended up with incorrect formula
 - » Gave approximately correct vacuum requirement (probably why the incorrect formula was not noticed)

- Finally, definitively resolved (better than $\sqrt{2}$ level) and confirmed experimentally in 1994
 - » “Measurement of Optical Pathlength Fluctuations due to Residual Gas in the LIGO 40m Interferometer,” M. E. Zucker et al., in *Proc. Of the Seventh Marcel Grossmann Conference*, (1994)
- Just prior to beginning construction (whew!)



Thermal Noise

- One of the most important and complex **fundamental** noise sources

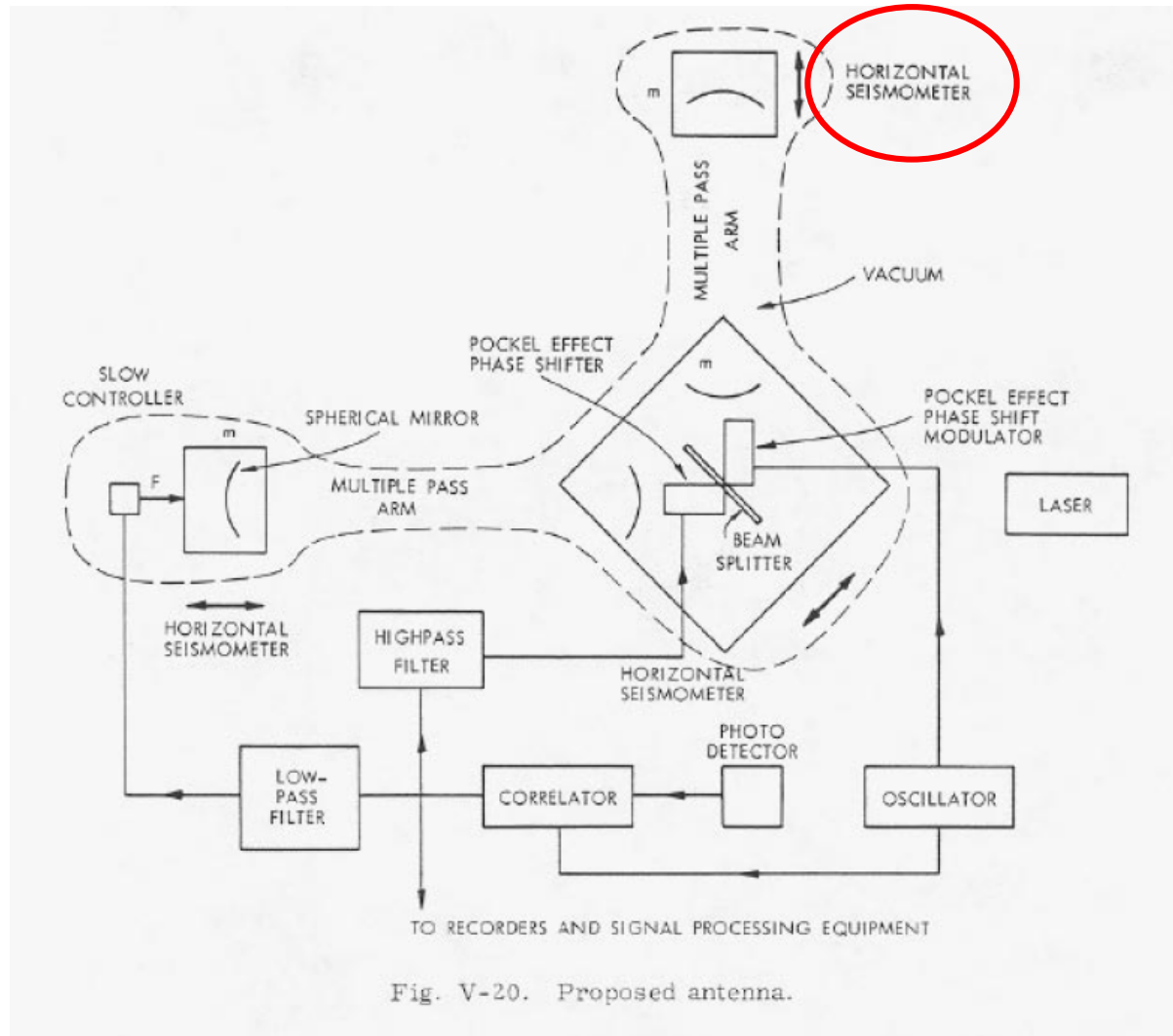


four stages

40 kg silica
test mass

Rai's RLE paper

- Importance clearly recognized (third noise source mentioned after shot noise and laser frequency noise)
- Single mode analysis for thermal noise, assuming viscous damping (suspension modes and internal modes)
- Suspension not defined as a pendulum
 - » Described as a “long-period seismometer suspension”



Rai's RLE paper

- Importance clearly recognized (third noise source mentioned after shot noise and laser frequency noise)
- Single mode analysis for thermal noise, assuming viscous damping (suspension modes and internal modes)
- Suspension not defined as a pendulum
 - » Described as a “long-period seismometer suspension”
 - » “The suspensions are critical components in the antenna, and there is no obvious optimal design”
 - » Suspension mode $Q \sim 10^4$ (actual requirement for Advanced LIGO $\sim 10^9$)
- Multimode nature recognized
 - » “The general problem with suspensions in the real world is that they have not one degree of freedom but many,…”

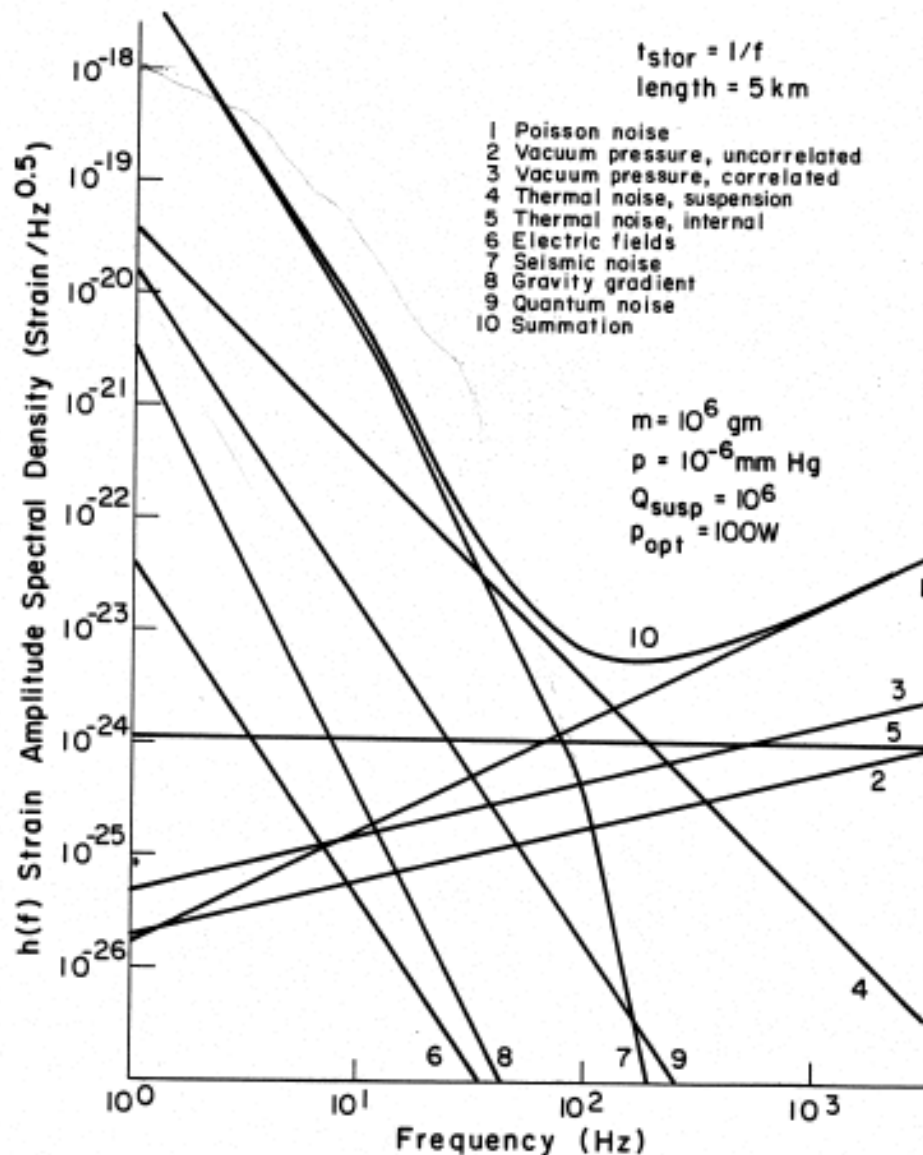
The “Blue Book”

- Still single mode analysis of thermal noise for estimating noise
- Beginning to recognize the complexity:
 - » “...a frequency independent stochastic force is at this time still only a conjecture.”
 - » “There are situations where a blithe application of the model will give the wrong results.” (coupled oscillators and servo damping)
- Largely unreferenced, so source of incorrect aspects hard to pin down
 - » Fused silica Q_{mat} given as $\sim 10^4$. (actual $Q_{\text{mat}} \sim 10^7$)

Blue Book

- Noise Budget

- » Thermal noise from the suspensions (4) estimated to dominate in mid-frequency band



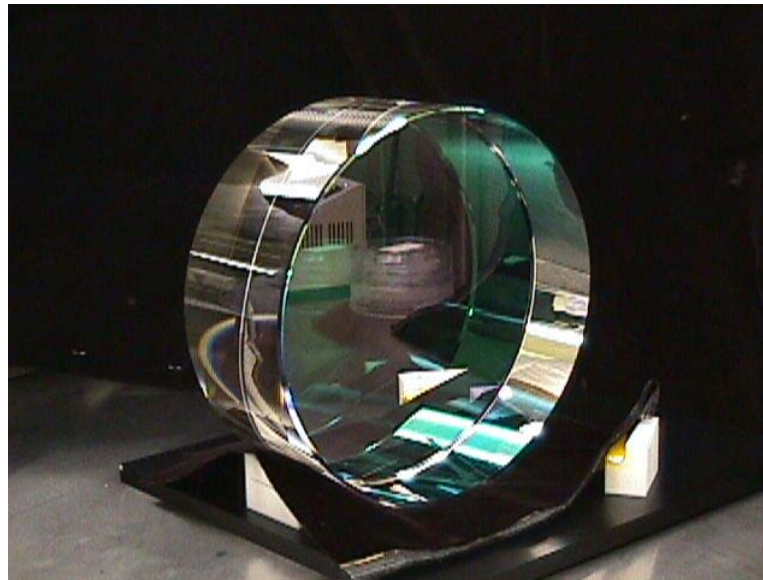
The '89 proposal

- First (?) mention of the Fluctuation-Dissipation Theorem (powerful theoretical tool)
 - » Not really used, however
- Noise estimates still based on viscous damping, but
 - » "...the damping can be frequency dependent so that a simple measurement of the Q of a resonance is not sufficient to predict the thermal noise off resonance."
- Recognized importance of overlap between internal mechanical modes and optical modes
 - » "Estimates of the equivalent gravitational wave strain ... depend upon the overlap integral of the optical mode shape with the mechanical mode of the mass."

- Peter Saulson's paper
 - » "Thermal Noise in mechanical experiments," *Phys Rev D* **42**, 2437 (1990)
 - » Complete set of references!
- Began while Peter was at MIT, during writing of '89 proposal, completed during a sabbatical at JILA
- First (?) presentation in the GW literature of:
 - » Structural damping on an equal basis with viscous damping
 - » Thermoelastic damping
 - » Clear discussion of Fluctuation-Dissipation Theorem
 - » Multi-mode systems, systems with localized losses, etc.
- Set the stage for progress in several areas: Yuri Levin's work, modern appreciation of coating thermal noise, etc.

Mirror Figure Requirement

- One of the biggest challenges in initial LIGO
- Requirement for Initial LIGO detectors: **0.6 nm rms**



- Not mentioned in RLE paper
- Not mentioned in Blue Book
- 1987 LIGO R&D Proposal:
 - » “Mirror specifications (substrate material, surface polish, figure and slope errors) have been developed with industry.”
 - » No clear discussion of where those requirements came from
 - » Requirement given as 20 nm (for laser wavelength ~ 500 nm) (Correcting for wavelength difference, ~ 60 times poorer than requirement for initial LIGO detectors)

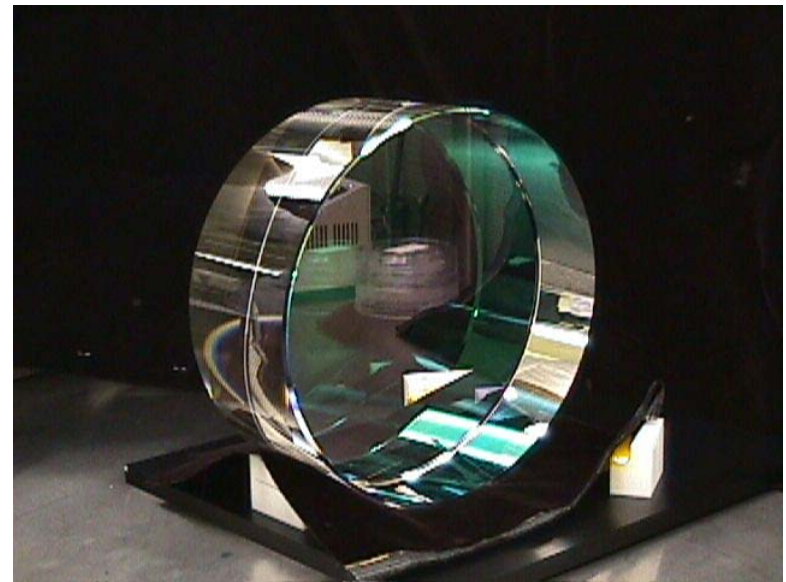
- Same requirement repeated, without elaboration in '89 proposal (still for wavelength ~500 nm)

TABLE IV-B-3
PARAMETERS FOR MAIN OPTICAL CAVITIES

Parameter	Value	Notes ¹
Mirror Coatings		
Cavity storage time	2 msec	
Scattering + absorption	$\lesssim 50$ ppm	
Surface microroughness	$< 3 \text{ \AA}$ rms	for < 50 ppm scattering
Coating uniformity	$\lesssim 1.5\%$	rms variation of transmission coefficient over central 8 cm
Cavity length L	4.0 km	(2.0 km)
Mirror curvature R	3.0 km	(1.5 km)
Figure error	200 \AA	rms over central 8 cm
Cavity stability parameter		
$g = 1 - \frac{L}{R}$	-0.33	(-0.33)

- Began to realize challenge as a result of effort to define specification of the substrate uniformity
 - » Mike Burka (MIT postdoc) undertook a program of measurements and modeling to study effects of mirror substrate inhomogenities on the dark port contrast (to assure adequate recycling gain)
- Slowly the requirement began to tighten
 - » Developed optical modal model for full interferometer
 - » First FFT models for interferometer a few years later
- By 1995, when construction of detectors began
 - » Requirement had tightened to $\lambda/400$
 - » Laser type changed from Ar⁺ laser to Nd:YAG (wavelength from 500 nm to 1064 nm)
 - » “Discovered” the AXAF Test Flat (LIGO sized optic polished by Perkin-Elmer for NASA x-ray satellite—approximately 1 nm rms)

- Detailed optical modelling led to final specification (another factor of ~ 4)
- Polishing
 - » Surface uniformity $< 0.6 \text{ nm rms}$ ($\lambda / 1600$)
 - » Radii of curvature matched $< 3\%$
- Coating
 - » Scatter $< 50 \text{ ppm}$
 - » Absorption $< 2 \text{ ppm}$
 - » Uniformity $< 10^{-3}$
- The final challenge was to convince industry that not only **could** they do it, they were **already** doing it



- Some of the challenges facing LIGO were recognized early, and the path to overcoming them was steady, even if difficult (thermal noise)
- Some of the challenges were recognized early, and the path to overcoming them involved both positive and negative progress (residual gas noise)
- Some of the challenges were not recognized until rather late in the project, and had to be overcome under intense pressure (mirror figure reqt.)